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**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Inventor(s):** Fallaux et al.

**Patent No.:** 6,265,212 B1

**Issued:** July 24, 2001

**For:** PACKAGING SYSTEMS FOR  
HUMAN RECOMBINANT ADENOVIRUS  
TO BE USED IN GENE THERAPY

**Attorney Docket No.:** 2578-3935US

**NOTICE OF EXPRESS MAILING**

Express Mail Mailing Label Number: EV326920335US

Date of Deposit with USPS: April 28, 2004

Person making Deposit: Christopher Haughton

**PETITION FOR ISSUANCE OF A CERTIFICATE OF CORRECTION OR  
RENEWED PETITION FOR CORRECTION OF INVENTORSHIP IN  
PATENT PURSUANT TO 35 U.S.C. 256 (37 C.F.R. § 1.324)**

Commissioner for Patents  
Certificates of Corrections Branch  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Both the Inventors and the Assignee hereby petition for the issuance of a certificate of correction, or, in the alternative, renew their petition submitted July 8, 2003 to correct the inventorship of U.S. Patent 6,265,212 B1.

A copy of the petition submitted July 8, 2003, including the supporting documents is attached hereto as Exhibit A. This petition requested the patent be corrected to delete the name of Govert Schouten as an inventor because he is not an inventor of the invention herein. The requirements of 35 U.S.C. § 256 and 37 C.F.R. § 1.324 were met by the petition as follows:

1. A statement that inventorship error occurred without deceptive intent was provided, including an attached Statement of Govert Schouten (provided herewith in Exhibit A).
2. The required agreement of the originally named inventors to the change of inventorship was provided by attached Statements of Frits J. Fallaux, Robert C.

Hoeben, Abraham Bout, Domenico Valerio, Alex J. van der Eb and Govert Schouten (provided herewith in Exhibit A).

3. The Assignee's agreement to the change in inventorship was provided by an attached Assent of Assignee. Proof of ownership in the Assignee was provided by copies of an executed assignment to IntroGene B.V. for application serial number 09/356,575 recorded January 4, 2000 at Reel/Frame 010213/0712, from which application the present patent issued and a filing regarding the change in name of the Assignee from IntroGene B.V. to Crucell Holland B.V. to establish ownership of U.S. Patent 6,265,212 B1 in Crucell Holland B.V. Copies of these documents are again provided herewith in Exhibit A.

A Communication was mailed by the Office on October 7, 2003, entering and accepting the petition to correct inventorship. A copy of this communication is provided as Exhibit B. No Certificate of Correction has been issued by the Office.

MPEP § 1481 sets forth that normally a Petition to Correct Inventorship under 37 C.F.R. § 1.324 should be directed to the Supervisory Patent Examiner whose unit handles the subject matter of the patent, who issues a decision on the petition. If granted, the patent file is then forwarded to the Certificate of Corrections branch for issuance of a Certificate of Correction. It appears that a formal decision on petition was never issued and the patent file was not forwarded to the Certificate of Corrections branch.

It is requested that the petition submitted July 8, 2003 be granted and the requested certificate of correction be entered. To the extent necessary, it is requested this petition be considered a renewed petition for correction of inventorship and be granted, pursuant to 35 U.S.C. § 256 and 37 C.F.R. § 1.324. All requirements for such a petition are provided herein, in connection with Exhibit A. As before, it is noted that errors made by Applicants appear in this patent and correction thereof does not involve such changes in the patent as would constitute new matter or would require reexamination. A certificate of correction in the form attached hereto as Exhibit C is thus requested.

**Patent No. 6,265,212 B1**


Please send the Certificate to:

Name: Allen C. Turner  
Address: TraskBritt  
P.O. Box 2550  
Salt Lake City, Utah 84110

The fee of \$130.00 as required by 37 C.F.R. § 1.20(b) has been authorized to be charged to TraskBritt Deposit Account No. 20-1469.

Attached hereto as Exhibit C is Form PTO/SB/44 in duplicate, with at least one copy being suitable for printing.

Respectfully submitted,

  
Bretton L. Crockett  
Registration No. 44,632  
Attorney for Applicants  
TRASKBRITT  
P.O. Box 2550  
Salt Lake City, Utah 84110-2550  
Telephone: 801-532-1922

Date: April 28, 2004  
BLC

Document in ProLaw

**Enclosures:**

Exhibit A: Petition for Correction of Inventorship in Patent Pursuant to 35 U.S.C. 256 (37 C.F.R. § 1.324), with supporting documents:

Statements of Govert Schouten, Frits J. Fallaux, Robert C. Hoebe, Abraham Bout, Domenico Valerio and Alex J. van der Eb

Assent of Assignee, and

Copy of filing establishing Crucell Holland B.V. as the assignee of U.S. Patent 6,265,212 B1 and application serial number 09/356,575

Exhibit B: Communication mailed October 7, 2003

Exhibit C: PTO/SB/44 in duplicate



**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Patentees:** Fallaux et al.

**Patent No.:** 6,265,212 B1

**Serial No.:** 09/356,575

**Issued:** July 24, 2001

**For:** PACKAGING SYSTEMS FOR  
HUMAN RECOMBINANT ADENOVIRUS  
TO BE USED IN GENE THERAPY

**Attorney Docket No.:** 2578-3935US

**NOTICE OF EXPRESS MAILING**

Express Mail Mailing Label Number: EV 348041838 US

Date of Deposit with USPS: July 8, 2003

Person making Deposit: Matthew Wooton

**PETITION FOR CORRECTION OF INVENTORSHIP IN PATENT  
PURSUANT TO 35 U.S.C. 256 (37 C.F.R. § 1.324)**

Commissioner for Patents  
Certificates of Corrections Branch  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Both the Inventors and the Assignee hereby petition to alter the inventorship of U.S. Patent 6,265,212 B1.

It is noted that errors made by Applicants appear in this patent and correction thereof does not involve such changes in the patent as would constitute new matter or would require reexamination. A certificate of correction in the form attached hereto is requested.

Correction is requested to delete the name of Govert Schouten as an inventor because he is not an inventor of the invention herein.

The inventorship error occurred without deceptive intent (see attached Statement of Govert Schouten).

The originally named inventors agree to the change of inventorship (see attached Statements of Frits J. Fallaux, Robert C. Hoebe, Abraham Bout, Domenico Valerio, Alex J. van der Eb and Govert Schouten).

**Patent No. 6,265,212 B1**

The Assignee agrees to the change in inventorship (see attached Assent of Assignee). Included herein, as proof of ownership in the Assignee, is a copy of an executed assignment to IntroGene B.V. for application serial number 09/356,575 recorded January 4, 2000 at Reel/Frame 010213/0712. It is from this application that the present patent issued. Also included is a copy of a filing regarding the change in name of the Assignee from IntroGene B.V. to Crucell Holland B.V. Thus, the assignment of application serial number 09/356,575 to IntroGene B.V. establishes ownership of U.S. Patent 6,265,212 B1 in Crucell Holland B.V.

Please send the Certificate to:

Name: Allen C. Turner  
Address: TraskBritt  
P.O. Box 2550  
Salt Lake City, Utah 84110

The fee of \$130.00 as required by 37 C.F.R. § 1.20(b) is enclosed.

Attached hereto in duplicate is Form PTO/SB/44 with at least one copy being suitable for printing.

Respectfully submitted,



Allen C. Turner  
Registration No. 33,041  
Attorney for Applicants  
TRASKBRITT  
P.O. Box 2550  
Salt Lake City, Utah 84110-2550  
Telephone: 801-532-1922

Date: July 8, 2003  
ACT/jml

Enclosures: PTO/SB/44 in duplicate  
Check No. 4638 in the amount of \$130.00  
Statements of Govert Schouten, Frits J. Fallaux, Robert C. Hoebe, Abraham Bout,  
Domenico Valerio and Alex J. van der Eb  
Assent of Assignee  
Copy of filing establishing Crucell Holland B.V. as the assignee of U.S. Patent  
6,265,212 B1 and application serial number 09/356,575

UNITED STATES PATENT AND TRADEMARK OFFICE  
**CERTIFICATE OF CORRECTION**

PATENT NO : 6,265,212 B1

Page 1 of 1

DATED : July 24, 2001

INVENTOR(S) : Frits J. Fallaux, Robert C. Hoebe, Abraham Bout,  
Domenico Valerio, and Alex J. van der Eb

It is certified that errors appear in the above-identified patent and that said Letters  
Patent are hereby corrected as shown below:

**On the title page:**

In item (75) Inventors, line 5: delete "Govert Schouten, Leiden"

MAILING ADDRESS OF SENDER:

PATENT NO. 6,265,212 B1

Allen C. Turner  
230 South 500 East, Suite 300  
Salt Lake City, Utah 84102 USA

No. of additional copies

Burden Hour Statement: This form is estimated to take 1.0 hour to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

UNITED STATES PATENT AND TRADEMARK OFFICE  
**CERTIFICATE OF CORRECTION**

PATENT NO : 6,265,212 B1

Page 1 of 1

DATED : July 24, 2001

INVENTOR(S) : Frits J. Fallaux, Robert C. Hoeben, Abraham Bout,  
Domenico Valerio, and Alex J. van der Eb

It is certified that errors appear in the above-identified patent and that said Letters  
Patent are hereby corrected as shown below:

**On the title page:**

In item (75) Inventors, line 5:

delete "Govert Schouten, Leiden"

MAILING ADDRESS OF SENDER:

PATENT NO. 6,265,212 B1

Allen C. Turner  
230 South 500 East, Suite 300  
Salt Lake City, Utah 84102 USA

No. of additional copies

Burden Hour Statement: This form is estimated to take 1.0 hour to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.





**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re Patent of:**

Fallaux et al.

**Patent No.:** 6,265,212

**Issued:** July 24, 2001

**For:** PACKAGING SYSTEMS FOR  
HUMAN RECOMBINANT ADENOVIRUS  
TO BE USED IN GENE THERAPY

**Attorney Docket No.:** 2578-3935US

**NOTICE OF EXPRESS MAILING**

Express Mail Mailing Label Number: EV 348041838 US

Date of Deposit with USPS: July 8, 2003

Person making Deposit: Matthew Wooton

**STATEMENT OF GOVERT SCHOUTEN**

Commissioner for Patents  
Certificates of Corrections Branch  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

I, GOVERT SCHOUTEN, hereby declare as follows:

1. That I am a citizen of The Netherlands that my residential and mailing address is Maria van Hongarijelaan 27, 2353 EM Leiderdorp, The Netherlands.
2. That I was erroneously named as a co-inventor of the subject matter which is described and claimed in United States Patent No. 6,265,212 filed July 24, 2001.
3. That the inventorship error occurred without deceptive intent.
4. That I agree to the change of inventorship.
5. I declare further that all statements made herein of my own knowledge are true; and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful, false statements and the like so

**Patent No. 6,265,212**

made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful, false statements may jeopardize the validity of the reissue application referred to herein, or any reissued patent issuing thereon.

DATED this 18<sup>th</sup> day of June, 2003.

  
GOVERT SCHOUTEN

Document in ProLaw

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re Patent of:**

Fallaux et al.

**Patent No.:** 6,265,212

**Issued:** July 24, 2001

**For:** PACKAGING SYSTEMS FOR  
HUMAN RECOMBINANT ADENOVIRUS  
TO BE USED IN GENE THERAPY

**Attorney Docket No.:** 2578-3935US

NOTICE OF EXPRESS MAILING

Express Mail Mailing Label Number: EV 348041838 US

Date of Deposit with USPS: July 8, 2003

Person making Deposit: Matthew Wooton

**STATEMENT OF FRITS J. FALLAUX**

Commissioner for Patents  
Certificates of Corrections Branch  
P.O. Box 1450  
Alexandria, VA 22313-1450

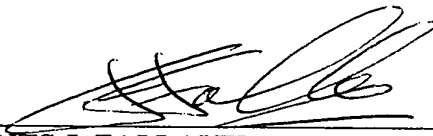
Sir:

I, FRITS J. FALLAUX, hereby declare as follows:

1. That I am a citizen of The Netherlands that my residential and mailing address is Bernard Haitinkstraat 5, 2353 KK Leiderdorp, The Netherlands.
2. That Govert Schouten was erroneously named as a co-inventor of the subject matter which is described and claimed in United States Patent No. 6,265,212 filed July 24, 2001.
3. That the inventorship error occurred without deceptive intent.
4. That I have no disagreement in regard to the requested change of inventorship.

5. I declare further that all statements made herein of my own knowledge are true; and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful, false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful, false statements may jeopardize the validity of the reissue application referred to herein, or any reissued patent issuing thereon.

DATED this 7<sup>th</sup> day of June, 2003.

  
FRITS J. FALLAUX

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re Patent of:**

Fallaux et al.

**Patent No.:** 6,265,212

**Issued:** July 24, 2001

**For:** PACKAGING SYSTEMS FOR  
HUMAN RECOMBINANT ADENOVIRUS  
TO BE USED IN GENE THERAPY

**Attorney Docket No.:** 2578-3935US

NOTICE OF EXPRESS MAILING

Express Mail Mailing Label Number: EV 348041838 US

Date of Deposit with USPS: July 8, 2003

Person making Deposit: Matthew Wooton

**STATEMENT OF ROBERT C. HOEBEN**

Commissioner for Patents  
Certificates of Corrections Branch  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:


I, ROBERT C. HOEBEN, hereby declare as follows:

1. That I am a citizen of The Netherlands that my residential and mailing address is Gerbrandylaan 43, 2314 EX Leiden, The Netherlands.
2. That Govert Schouten was erroneously named as a co-inventor of the subject matter which is described and claimed in United States Patent No. 6,265,212 filed July 24, 2001.
3. That the inventorship error occurred without deceptive intent.
4. That I have no disagreement in regard to the requested change of inventorship.

**Patent No. 6,265,212**

5. I declare further that all statements made herein of my own knowledge are true; and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful, false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful, false statements may jeopardize the validity of the reissue application referred to herein, or any reissued patent issuing thereon.

DATED this 12 day of June, 2003.

  
ROBERT C. HOEBEN

Document in ProLaw

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re Patent of:**

Fallaux et al.

**Patent No.:** 6,265,212

**Issued:** July 24, 2001

**For:** PACKAGING SYSTEMS FOR  
HUMAN RECOMBINANT ADENOVIRUS  
TO BE USED IN GENE THERAPY

**Attorney Docket No.:** 2578-3935US

**NOTICE OF EXPRESS MAILING**

Express Mail Mailing Label Number: US 348041838 US

Date of Deposit with USPS: July 8, 2003

Person making Deposit: Matthew Wooton

**STATEMENT OF ABRAHAM BOUT**

Commissioner for Patents  
Certificates of Corrections Branch  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

I, ABRAHAM BOUT, hereby declare as follows:

1. That I am a citizen of The Netherlands that my residential and mailing address is Van Swaanswijkstraat 38, 2751 XL Moerkapelle, The Netherlands.
2. That Govert Schouten was erroneously named as a co-inventor of the subject matter which is described and claimed in United States Patent No. 6,265,212 filed July 24, 2001.
3. That the inventorship error occurred without deceptive intent.
4. That I have no disagreement in regard to the requested change of inventorship.

5. I declare further that all statements made herein of my own knowledge are true; and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful, false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful, false statements may jeopardize the validity of the reissue application referred to herein, or any reissued patent issuing thereon.

DATED this 05 day of June, 2003.



ABRAHAM BOUT

Document in ProLaw



**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re Patent of:**

Fallaux et al.

**Patent No.:** 6,265,212

**Issued:** July 24, 2001

**For:** PACKAGING SYSTEMS FOR  
HUMAN RECOMBINANT ADENOVIRUS  
TO BE USED IN GENE THERAPY

**Attorney Docket No.:** 2578-3935US

NOTICE OF EXPRESS MAILING

Express Mail Mailing Label Number: EV 348041838 US

Date of Deposit with USPS: July 8, 2003

Person making Deposit: Matthew Wooton

**STATEMENT OF DOMENICO VALERIO**

Commissioner for Patents  
Certificates of Corrections Branch  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

I, DOMENICO VALERIO, hereby declare as follows:

1. That I am a citizen of The Netherlands that my residential and mailing address is Rhijngeesterstraatweg 11, 2342 AN Oegstgeest, The Netherlands.
2. That Govert Schouten was erroneously named as a co-inventor of the subject matter which is described and claimed in United States Patent No. 6,265,212 filed July 24, 2001.
3. That the inventorship error occurred without deceptive intent.
4. That I have no disagreement in regard to the requested change of inventorship.

5. I declare further that all statements made herein of my own knowledge are true; and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful, false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful, false statements may jeopardize the validity of the reissue application referred to herein, or any reissued patent issuing thereon.

DATED this 17<sup>th</sup> day of June, 2003.

  
DOMENICO VALERIO

Document in ProLaw

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re Patent of:**

Fallaux et al.

**Patent No.:** 6,265,212

**Issued:** July 24, 2001

**For:** PACKAGING SYSTEMS FOR  
HUMAN RECOMBINANT ADENOVIRUS  
TO BE USED IN GENE THERAPY

**Attorney Docket No.:** 2578-3935US

NOTICE OF EXPRESS MAILING

Express Mail Mailing Label Number: EV 348041838 US

Date of Deposit with USPS: July 8, 2003

Person making Deposit: Matthew Wooton

**STATEMENT OF ALEX J. VAN DER EB**

Commissioner for Patents  
Certificates of Corrections Branch  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

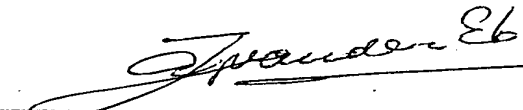
I, ALEX J. VAN DER EB, hereby declare as follows:

1. That I am a citizen of The Netherlands that my residential and mailing address is Prinses Beatrixlaan 53, 2341 TW Oegstgeest, The Netherlands.
2. That Govert Schouten was erroneously named as a co-inventor of the subject matter which is described and claimed in United States Patent No. 6,265,212 filed July 24, 2001.
3. That the inventorship error occurred without deceptive intent.
4. That I have no disagreement in regard to the requested change of inventorship.

Patent No. 6,265,212

5. I declare further that all statements made herein of my own knowledge are true; and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful, false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful, false statements may jeopardize the validity of the reissue application referred to herein, or any reissued patent issuing thereon.

DATED this 12 day of June, 2003.

  
ALEX J. VAN DER EB

Document in ProLaw

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re Patent of:**

Fallaux et al.

**Patent No.:** 6,265,212

**Issued:** July 24, 2001

**For:** PACKAGING SYSTEMS FOR  
HUMAN RECOMBINANT ADENOVIRUS  
TO BE USED IN GENE THERAPY

**Attorney Docket No.:** 2578-3935US

**NOTICE OF EXPRESS MAILING**

Express Mail Mailing Label Number: US 348041838 US

Date of Deposit with USPS: July 8, 2003

Person making Deposit: Matthew Wooton

**ASSENT OF ASSIGNEE TO DELETION OF INVENTOR**

Commissioner for Patents  
Certificates of Corrections Branch  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The undersigned assignee of the entire interest in application Patent No. 6,265,212 hereby assents to the correction of inventorship filed herewith.

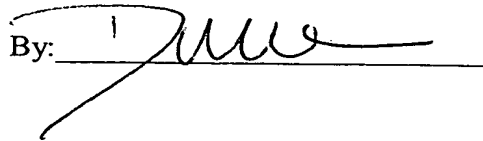
Pursuant to 37 C.F.R. ' 3.73, the undersigned representative of the Assignee has reviewed the evidentiary documents, specifically the Assignment to Introgene, B.V. recorded on January 4, 2000, Reel/Frame: 010521/0712, number of pages: 3, and the Change of Name from Introgene B.V. to Crucell Holland B.V., currently at the Assignment Division of the United States Patent and Trademark Office waiting to be recorded, and certifies that to the best of his knowledge and belief, title remains in the name of Crucell Holland B.V.

**Patent No. 6,265,212**

The undersigned further avers that he is empowered to make and sign the foregoing certification on behalf of the Assignee, and to take the action set forth herein on behalf of the Assignee, pursuant to a resolution of its Board of Directors.

CRUCCELL HOLLAND B.V.

Dated: 17 June 2003

By: 

Document in ProLaw

4638

TRASK BRITT, P.C. PATENT ATTORNEYS / CLIENT ADVANCE ACCOUNT

DATE	INVOICE #	REFERENCE	AMOUNT
07/07/2003	Petition Fee	2578-3935US	130.00

130.00

WARNING THIS DOCUMENT HAS FLUORESCENT FIBERS, A VOID PANTOGRAPH AND A MICROPRINT SIGNATURE LINE

TRASK BRITT, P.C.  
PATENT ATTORNEYS / CLIENT ADVANCE ACCOUNT  
230 SOUTH 500 EAST, SUITE 300  
P.O. BOX 2550  
SALT LAKE CITY, UT 84102  
PHONE: 801-532-1922

KEY BANK OF UTAH  
SALT LAKE CITY, UT  
3117371240

4638

DATE 07/07/2003

PAY  
EXACTLY

One Hundred Thirty AND 00/100 DOLLARS

\$ 130.00

TO THE  
ORDER OF

Commissioner for Patents  
Washington, D.C. 20231

  
AUTHORIZED SIGNATURE

⑈004638⑈ 1:1240007370445010030416⑈

## PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks: Please Record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Introgene B.V.

2. Name and address of receiving party(ies)

Name: Crucell Holland B.V.

Address: Archimedesweg 4

2333 CN Leiden

Additional name of conveying party(ies) attached? ☐ Yes ☒ NoAdditional Name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☐ Assignment☐ Merger☐ Security Agreement☒ Change of Name☐ Other \_\_\_\_\_Execution Date: February 24, 2003

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date shown below.

Typed or printed name: Jayna M. LofgrenSignature: Jayna M. LofgrenDate: February 24, 2003

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

B. Patent No.(s)

6,265,212 B1

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: TraskBritt

Internal Address: \_\_\_\_\_

Street Address: P.O. Box 2550City: Salt Lake City State: UT Zip: 841106. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41) ..... \$40.00☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

20-1469

(Attach duplicate copy of this page if paying by deposit account)

## DO NOT USE THIS SPACE

9. Statement and signature.

Attorney Docket No.: 2578-3935US

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Allen C. TurnerName of Person Signing  
Reg. No. 33,041

Signature

February 24, 2003

Date

Total number of pages including cover sheet, attachments, and documents: 10

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent of:

Fallaux et al.

Patent No.: 6,265,212 B1

Issued: July 24, 2001

For: PACKAGING SYSTEMS FOR  
HUMAN RECOMBINANT ADENOVIRUS  
TO BE USED IN GENE THERAPY

Attorney Docket No.: 2578-3935US

CERTIFICATE OF MAILING

I hereby certify that this correspondence along with any attachments referred to or identified as being attached or enclosed is being deposited with the United States Postal Service as First Class Mail on the date of deposit shown below with sufficient postage and in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

February 24, 2003  
Date

Signature

Jayna M. Lofgran  
Name (Type/Print)

CHANGE OF NAME OF ASSIGNEE

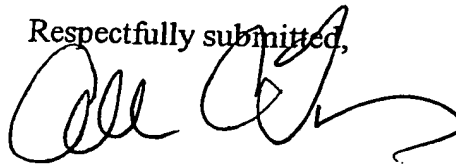
Box ASSIGNMENTS

Commissioner of Patents & Trademarks  
Washington, D.C. 20231

1. Particulars of Assignment. An assignment has been recorded in the Patent and Trademark Office for this invention on January 4, 2000, Reel/Frame 010521/0712, Number of Pages: 3. A copy of the recorded Assignment is attached.
2. Old Name of Assignee. The old name of the assignee shown on the above assignment is Introgene B.V.
3. New Name of Assignee. The new name of the assignee is **Crucell Holland B.V.**
4. Proof of Change of Name. Proof of change of name is established by the attached Statement About Articles of Association indicating a name change from the following authority: Robert Jan Jozef Lijdsman, civil law notary in Amsterdam.

4. Payment of Fee. Please refer to the "Recordation Form Cover Sheet," to which this paper is attached.

Respectfully submitted,



Allen C. Turner

Registration No. 33,041

Attorney for Patentees

TRASKBRITT

P.O. Box 2550

Salt Lake City, Utah 84110-2550

Telephone: 801-532-1922

Date: February 24, 2003

ACT/jml

Enclosures: Copy of Notice of Recordation of Assignment Document (5 pages)  
Copy of Statement About Articles of Association (2 pages)

Document in ProLaw

02-07-2000

CORDATION FORM

PATENTS

RECEIVED



101259640

To the Honorable Commissioner of Patents and Trademarks: Please return the attached original documents or copy thereof unless filed with a new application, mail to: **BOX 418, NEW YORK, N.Y. 10108-0418** Commissioner of Patents and Trademarks, Washington, D.C. 20231

1. Name of conveying party(ies): **OPR/FINANCE**

Frits J. Fallaux  
Robert C. Hoebe  
Abraham Bout  
Domenico Valerio  
Alex J. van der Eb  
Govert Schouten

2. Name and address of receiving party(ies):

Name: **INTROGENE B.V.**  
Address: **Wassenaarseweg 72**  
**Leiden, The Netherlands 2333**

Additional name(s) & address(es) attached? ☐ Yes ☒ No

# CERTIFICATE OF MAILING

I hereby certify that this paper or fee along with any attachments referred to or being attached or enclosed is being deposited with the United States Postal Service Class Mail (under 37 C.F.R. § 1.8(a)) on the date of deposit shown below with postage and in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

December 29, 1999  
Date of Deposit

*Lynette Eliason*  
Signature of registered practitioner or other person having reasonable basis to expect mailing to occur on date of deposit shown pursuant to 37 C.F.R. § 1.8(a)(1)(ii)

Lynette Eliason  
Typed/printed name of person whose signature is contained above

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: October 5, 6, 7, 1999

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. U.S. Patent Application No.(s)  
**09/356,575**

B. U.S. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: **Allen C. Turner**  
**Trask, Britt & Rossa**  
**P.O. Box 2550**  
**Salt Lake City, UT 84110-2550**

Attorney Docket No. 3935US

6. Total number of U.S. applications and U.S. patents involved: **1**

7. Total fee (37 C.F.R. § 3.41) ..... \$ **4**  
(\$40.00 times number in box 6)  
Check no. **1252** is enclosed in this amount.

8. The Commissioner is hereby authorized to charge any deficiency or credit any overpayment to deposit account number 20-1469.

02/07/2000 TTON11 00000062 09356575

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct.

**Allen C. Turner**  
Name of Person Signing

Reg. No. 33,041

*Allen C. Turner*  
Signature

**12/29/99**  
Date

Total number of pages including cover sheet, attachments and document: **3**

ASSIGNMENT

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, each undersigned ASSIGNOR does hereby:

SELL, ASSIGN AND TRANSFER to INTROGENE B.V. ("ASSIGNEE"), a corporation of The Netherlands having a place of business at Wassenaarseweg 72, 2333 AL Leiden, The Netherlands, the entire right, title and interest for the United States and all foreign countries in and to any and all improvements which are disclosed in the Application for United States Letters Patent Serial No. 09/356,575 filed on July 19, 1999, and entitled **PACKAGING SYSTEMS FOR HUMAN RECOMBINANT ADENOVIRUS TO BE USED IN GENE THERAPY**, such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent or the legal equivalent thereof which have been or may be filed in the United States and all foreign countries relating to any of such improvements; all original, reexamined and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application made in the United States;

AUTHORIZE the ASSIGNEE to apply for and receive any and all United States and foreign patents relating to such improvements in its own name;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to and in the name of the ASSIGNEE;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement or encumbrance affecting the rights and property herein conveyed has been or will be made or entered into by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

COVENANT, when requested and at the expense of the ASSIGNEE, to carry out in good faith the intent and purpose of this assignment, to execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications relating to any and all such improvements; to execute all rightful oaths, declarations, assignments, powers of attorney and other papers; to communicate to the ASSIGNEE all facts and provide to the ASSIGNEE all documents and things known and accessible to the undersigned relating to such improvements and the history thereof, and testify as to the same in any interference, litigation or other proceeding relating thereto; and generally to do everything possible which the ASSIGNEE shall consider desirable for vesting title to such improvements in the

ASSIGNEE, and to secure, maintain, defend and enforce valid and enforceable patent protection for such improvements;

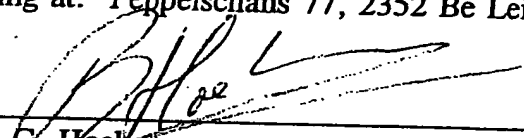
AGREE and ACKNOWLEDGE that the SALE, ASSIGNMENT AND TRANSFER of rights and property set forth herein is and shall be IRREVOCABLE and BINDING upon the heirs, assigns, representatives and successors of each undersigned ASSIGNOR and EXTEND to the successors, assigns and nominees of the ASSIGNEE.

ASSIGNORS:

  
Frits J. Fallaux


Date 06/10/99

Residing at: Peppelschans 77, 2352 Be Leiderdorp, The Netherlands

  
Robert C. Hoeven

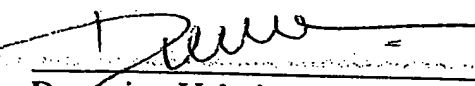
Date 06/10/99

Residing at: Gerbrandylaan 43, 2314 Ex Leiden, The Netherlands

  
Abraham Bont

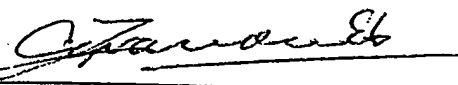
Date 07/10/99

Residing at: Coymansstraat 24, 2751 AR Moerkapelle, The Netherlands

  
Domenico Valerio

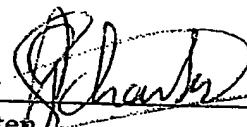
Date 07/10/99

Residing at: Gerbrandylaan 12, 2314 EZ Leiden, The Netherlands

  
Alex J. van der Eb

Date 7/10/99

Residing at: Prinsen Beatrixlaan 52, 2341 TW Oegstgeest, The Netherlands

  
Govert Schouten

Date 07/10/99

Residing at: Da Costastraat 82, 2321 AR Leiden, The Netherlands

ALLEN & OVERY

RJL/IntroGene/Crucell Holland BV/statement  
47024-00019/AMCO:97059.1

## STATEMENT ABOUT ARTICLES OF ASSOCIATION

Robert Jan-Jozef Lijdsman, civil law notary in Amsterdam,

hereby declares:

the attached document is a fair English translation of the Articles of Association of:

**Crucell Holland B.V.**  
(formerly named: IntroGene B.V.)  
having its official seat in Leiden.

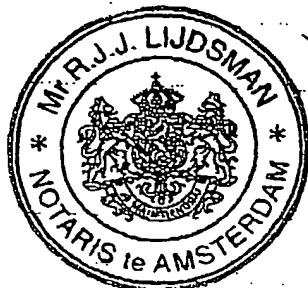
as they read after execution of the deed of amendment on 25 October 2000 before me, civil law notary aforementioned, ministerial Statement of No Objections number B.V. 451662.

Crucell Holland B.V. is a private limited liability company under Dutch law (*besloten vennootschap met beperkte aansprakelijkheid*), having its office address at Archimedesweg 4, 2333 CN Leiden and registered in the Commercial Register under number 28074607.

In preparing the attached document, an attempt has been made to translate as literally as possible without jeopardizing the overall continuity of the text. Inevitably, however, differences may occur in translation, and if they do, the Dutch text will by law govern.

In the attached document, Dutch legal concepts are expressed in English terms and not in their original Dutch terms; the concepts concerned may not be identical to concepts described by the English terms as such terms may be understood under the laws of other jurisdictions.

Amsterdam, 12 July 2002.



**ALLEN & OVERY****AMENDMENT OF THE ARTICLES OF ASSOCIATION OF:****IntroGene B.V.****(new name: Crucell Holland B.V.)****having its official seat in Leiden****Deed of 25 October 2002****CONTENTS:**

- certified copy of the deed of amendment of the Articles of Association of IntroGene B.V. (new name: Crucell Holland B.V.), executed on 25 October 2002, before Robert Jan Jozef Lijdsman, civil law notary in Amsterdam;...
- English office translation of the Articles of Association after this amendment.



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/356,575	07/19/1999	FRITS J. FALLAUX	3935US	8010

7590 10/07/2003  
ALLEN C TURNER  
TRASK BRITT & ROSSA  
P O BOX 2550  
SALT LAKE CITY, UT 84110

**RECEIVED**

OCT 09 2003

**Trask Britt**

EXAMINER

WILSON, MICHAEL C

ART UNIT PAPER NUMBER

1633

DATE MAILED: 10/07/2003

22

Please find below and/or attached an Office communication concerning this application or proceeding.

*FF*





**UNITED STATES DEPARTMENT OF COMMERCE**

**U.S. Patent and Trademark Office**

Address: COMMISSIONER FOR PATENTS

P.O. Box 1450

Alexandria, Virginia 22313-1450

APPLICATION NO./ CONTROL NO.	FILING DATE	FIRST NAMED INVENTOR / PATENT IN REEXAMINATION	ATTORNEY DOCKET NO.
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EXAMINER
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ART UNIT	PAPER
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22

DATE MAILED:

Please find below and/or attached an Office communication concerning this application or proceeding.

Commissioner for Patents

The statements by Schouten, Fallaux, Hoebe, Bout, Valerio and van der Eb filed 7-8-03 have been entered. The petition to delete an inventor pursuant to 35 USC 256 and the consent of Assignee to delete an inventor, both filed 7-8-03, have been entered and accepted.

Inquiry concerning this communication or earlier communications from the examiner should be directed to Michael C. Wilson who can normally be reached on Monday through Friday from 9:00 am to 5:30 pm at (703) 305-0120.


Questions of formal matters can be directed to the patent analyst, Dianiece Jacobs, who can normally be reached on Monday through Friday from 9:00 am to 5:30 pm at (703) 305-3388.

Questions of a general nature relating to the status of this application should be directed to the Group receptionist whose telephone number is (703) 308-1235.

If attempts to reach the examiner, patent analyst or Group receptionist are unsuccessful, the examiner's supervisor, Deborah Reynolds, can be reached on (703) 305-4051.

The official fax number for this Group is (703) 308-4242.

Michael C. Wilson



**MICHAEL WILSON  
PRIMARY EXAMINER**

## UNITED STATES PATENT AND TRADEMARK OFFICE

## CERTIFICATE OF CORRECTION

PATENT NO : 6,265,212 B1

Page 1 of 1

DATED : July 24, 2001

INVENTOR(S) : Frits J. Fallaux, Robert C. Hoeben, Abraham Bout,  
Domenico Valerio, and Alex J. van der Eb

It is certified that errors appear in the above-identified patent and that said Letters Patent are hereby corrected as shown below:

**On the title page:**

In item (75) Inventors, line 5:

delete "Govert Schouten, Leiden"

MAILING ADDRESS OF SENDER:

PATENT NO. 6,265,212 B1

Allen C. Turner  
230 South 500 East, Suite 300  
Salt Lake City, Utah 84102 USA

No. of additional copies

Burden Hour Statement: This form is estimated to take 1.0 hour to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF CORRECTION

PATENT NO : 6,265,212 B1

Page 1 of 1

DATED : July 24, 2001

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